

Export Control Coordinators Organization

2014 Training Seminar

BIOS

Karen Alaniz

Karen Alaniz---Originally from NY, NY. Attended the University of Albany undergrad (Magna Cum Laude), JD University of Virginia, clerked in the Federal Court of Alaska and the 14th Court of Appeals in Texas before practicing law for Strasburger & Price LLP in Houston, TX. Left the practice of law to work at LANL in the Employee Relations group for 8 years where I became SHRM certified. Came to Sandia in 2010 to work in the Prime Contracts group. I was asked to lead the NAP-23 implementation team in 2013 and became acting manager of Export control group in March 2014. Married and have two kids.

Cathy Blank

Deputy Director, Policy
Directorate of Defense Trade Controls
Department of State

Ann-Catherine (Cathy) Blank has been a diplomat for over 20 years. She current serves as the Deputy Director for Policy in the Department of State's Directorate of Defense Trade Controls. Prior to joining the Directorate she served as a Political Advisor at the U.S. European Command (EUCOM), providing foreign policy guidance on issues throughout EUCOM's 51-country area of responsibility. Earlier in her career Cathy worked directly for both an Under Secretary and the Secretary of State. Her experience has ranged from acting as the Special Assistant to Northern Ireland Peace Process Envoy to leading the U.S. delegation to the International Science and Technical Center. Other assignments include diplomatic postings in Seoul, Jakarta, Vienna and Tel Aviv. She also spent 2004-2005 as a Pearson Fellow, providing foreign policy guidance to a member of the U.S. Senate. Cathy holds a J.D., M.A., and M.B. A. from the University of Cincinnati.

Robert Brodell

Robert Brodell has worked at the Treasury Department's Office of Foreign Assets Control (OFAC) for nearly five years. He spent two and a half years in OFAC's Compliance Division. As a Compliance Analyst Robert lead a team of fellow Analysts managing and analyzing inbound blocking and rejection reports submitted by US financial institutions to flag potential violations and track the impact of OFAC's various sanctions programs. Robert also staffed OFAC's Compliance Phone Hotline and Feedback email account answering OFAC sanctions compliance questions from individuals and organizations around the world. As a Compliance Analyst Robert worked several cases resulting in the issuance of cautionary letters to financial institutions due to possible OFAC violations. Since August 2012, Robert has worked in OFAC's Licensing Division as a Licensing Examining Officer. In this capacity Robert processes applications to release blocked funds across all of OFAC's various sanctions programs. Robert also processes Cuba travel applications and assists in a variety of transactional application matters dealing with Iranian sanctions and most recently Ukraine-related sanctions. In his time at OFAC Robert has been a part of several major sanctions initiatives including the progressive increase of sanctions against Iran, Libyan sanctions, Syrian sanctions, and Ukraine-related sanctions. Today Robert will provide us with background and insights in to OFAC sanctions and compliance best practices.

W. D. (Bill) Chappell, Export Compliance Manager and Empowered Official of the Y-12 National Security Complex in Oak Ridge, Tennessee. Y-12 is currently operated by B&W Y-12, and is part of the Department of Energy's National Security Enterprise. Bill earned a Bachelor's of Science in Mechanical Engineering from the University of Virginia, followed by a Masters in Business from Boston University. At Y-12, prior to becoming Export Compliance Manager in 1998, Bill was a Design and Industrial Engineer, and Contracts Manager. Bill has also been a derivative classifier for Y-12 for over 17 years. Bill served as the Vice Chair and Chair of ECCO in 2009 and 2010 and this is his 14th consecutive ECCO.

Krystee Ervin

Krystee is a nonproliferation and export control specialist with the Nuclear Security and Isotope Development Division at Oak Ridge National Laboratory (ORNL). Her expertise lies in the interpretation and implementation of DOE, DOC, DOS, NRC and OFAC regulations. Her skills include export licensing, export control training and development, technology risk assessments and reviews, and high risk property reviews. She has extensive training and experience in nuclear nonproliferation, international agreements, and International Atomic Energy Agency (IAEA) requirements. Krystee came to the Oak Ridge K-25 plant in 1990, when she joined Martin Marietta Energy Systems to work on the DOE Waste Management Program. In 1997, she joined the management team for the Waste Isolation Pilot Plant (WIPP) in New Mexico, and she transferred to the High Flux Isotope Reactor (HFIR) in 1999. She has been working export control issues since 2002, where she has in-depth interactions with government agencies, the scientific and academic community, commercial industry, and international programs. She is a subject matter expert for international and domestic workshops in export control and nonproliferation. Krystee received her master's degree from the University of Tennessee in 2000.

Gary Hagen

Gary Hagen is an export controls professional at Pacific Northwest National Laboratory, located in southeastern Washington state next-door to DOE's Hanford site. Gary is also a former Chair of ECCO and coordinated the ECCO meeting in Washington, D.C. last summer. Prior to joining PNNL, he was the Export Controls Officer for Idaho's largest research university.

Similar to many public research universities, PNNL is largely an open-style research campus. And at any one point in time, nearly 20 percent of the personnel at the Lab can be foreign persons. While a large percentage of the projects at PNNL are fundamental research, many other projects are not. However, even some of those fundamental research projects are conducted utilizing equipment controlled under the ITAR. Situations such as these obviously create export control issues that must be reviewed, evaluated, mitigated or otherwise addressed by actions such as licenses, license exceptions, and/or technology control plans.

Gary will be presenting an overview of the Electronic Prep & Risk tool that is used at PNNL as a primary procedure to gain visibility on project-based risks of all kinds, including export controls and international activity.

Gary R. Langlie

Licensing Officer
Office of International Programs
U.S. Nuclear Regulatory Commission

Gary is an Export and Import Licensing Officer at the U.S. Nuclear Regulatory Commission (NRC) in the Export Controls and International Organizations Branch. Gary's primary responsibilities include reviewing licensing applications, drafting Commission level papers for the export of HEU, coordinating DOE/NNSA Part 810 submissions to the agency and drafting responses to NNSA Subsequent Arrangements, and working with State Department on requests for assurance obligations relating to transfers of nuclear technology information from foreign companies to U.S. industry. Gary has also managed NRC Executive Order mandated programs relating to classified programs, original and derivative classifier training, and worked with the Quadripartite countries in setting up the regulatory framework to allow construction and operation of the first uranium enrichment plant (European centrifuges) in the U.S. in decades. Prior to joining the NRC, Gary was the Security Manager of a DOD-cleared company (under FOCI) that performed in the DOD/IC world and he also worked in residential real estate sales. Gary started his career as an Army Intelligence Officer working on the East/West German border as well as working at the Army Operations Center, Pentagon and the White House Situation Room as a Senior Duty Officer. Gary has a B.S. in Economics from Colorado State University.

Sarah-Jane Maynard

Sarah Maynard is the Team Leader for Export Control in the Safeguards Division of the Los Alamos National Laboratory (LANL). Export Control is responsible for all export control reviews and logistics for foreign shipments. She has been the Chair of the Laboratory's Export Working Group (EWG) since appointed in 1993. The LANL EWG ties all facets of export control together for the Laboratory and is responsible for internal requirements/procedures, training programs and corrective actions that facilitate international interactions, minimize adverse programmatic impacts and ensure implementation of all export control laws and regulations and DOE directives/policies that have been incorporated in LANS (parent organization) contract. Sarah is the Communications Officer for the Export Control Coordinators Organization (ECCO) which is a DOE-wide organization involved with training, monitoring regulatory changes, and issues regarding imports, exports and high risk property. Sarah has an MBA from the College of Santa Fe. She is a licensed Customs Broker and an International Defensive Pistol Association (IDPA) Safety Officer.

Evelyn Prestosh

Evelyn Prestosh is a Foreign Affairs Specialist with the Department of Energy's National Nuclear Security Administration, Office of International Material Protection and Cooperation (NA-25). She is responsible for export control related issues with the Material Protection, Control & Accounting (MPC&A) Program, Second Line of Defense (SLD) Program and other international programs that assure nuclear materials are secured or used for peaceful purposes. She has been involved in all aspects of global trade compliance and licensing for 29 years.

Prior to joining DOE, Evelyn was the Director of Global Export Compliance and Strategy for the largest division of Honeywell Corporation where she was responsible for export compliance for 120+ countries. Evelyn's responsibilities have included developing and overseeing a corporate-wide program of global trade compliance including all facets of imports, exports and reexports.

Evelyn has strong ties to the Export- Import community, developing and opening up lines of communications to Commerce, State, and Defense departments, Customs and Border Protection,

Census, Drug Enforcement Administration, Food and Drug Administration and the Nuclear Regulatory Commission. She has served as the Chairperson of the Export Committee of the National Council on International Trade Development, and is a member of the American Chemical Council. Prior to joining Honeywell, Evelyn was Trade Compliance Team Lead at Air Products and Chemicals, Inc. Evelyn holds a BA in Business from Moravian College, with minor's in math and psychology, an Associate's degree in Information Technology from Allentown College and has earned many credits toward her BS in Chemical Engineering before stopping due to her daughter's medical issues.

Alan Rittel

Alan Rittel is the Export Control Manager at the Pacific Northwest National Laboratory. He has held this position since February 2014. Prior to that Alan was the Empowered Official/Manager at Sandia National Laboratories from March 2011 to February 2014. Prior to employment at Sandia, Alan was the Export Compliance Leader at the Kansas City Plant managed by Honeywell Federal Manufacturing & Technologies. He has over 15 years' experience in export and import regulatory compliance associated with compliance assessments, license applications, training and policies. Alan has been actively involved in the development of an export compliance program for the transition of nuclear weapon items from the International Traffic in Arms Regulations (ITAR) to the Atomic Energy Act (AEA). He is also a member of the Export Control Coordinator's Organization (ECCO) and chaired the organization in 2002 and 2008. Alan holds a B.A. degree in Business Management from the University of Missouri and Transportation Certificate from the University of Missouri, Kansas City.

Julie Salcido

Special Agent in Charge Julie Salcido



Special Agent in Charge (SAC) Julie L. Salcido – Julie L. Salcido has been the Special in Charge of the San Jose Office for Export Enforcement since September 2000. SAC Salcido started her career with OEE as an agent in April 1994. Prior to OEE, she worked as an Investigator for the Equal Employment Opportunity Commission. SAC Salcido earned a B.S. in Political Science at Lake Superior State University, Michigan. SAC Salcido is the recipient of a Gold Medal and two Silver Medals awarded by the Department of Commerce, and two Bronze Medals awarded by the Bureau of Industry and Security for outstanding work in criminal investigations.

Darren Schnedler

Darren Schnedler is the Security Program Lead for Principal Associate Directors of Science, Technology, and Engineering and Global Security at Los Alamos National Laboratory (LANL) and provides deployed security services. These services provide a high level resource for implementation of security requirements for senior management and hands-on experience to line management that is responsible for meeting DOE Safeguard and Security requirements while performing programmatic work.

Prior to working for LANL, Darren was the Protection Technology Los Alamos (PTLA) Quality Assurance Senior Specialist for the LANL Protective Force. He was responsible for the research, evaluation, development, and implementation of the Quality Assurance Program, to assure quality, environmental, and safety programs; promoted quality and safety; conducted

research on new equipment; analyzed information, and participated in special taskforces and committees. Before coming to LANL, Darren served in the US Navy as a Naval Rescue Swimmer, aboard UH-1N Huey. Darren has BS Business Studies with a concentration in Human Resource Management from Southern New Hampshire University.

Darren enjoys outdoor activities with his wife, daughter, and son.

LARRY SULLIVAN

**U.S. Department of Commerce
Bureau of Industry and Security
Western Regional Office
Northern California Branch
160 West Santa Clara Street, Suite 725
San Jose, California 95113
T: 1-408-998-8806
F: 1-408-998-8677
Larry.Sullivan@bis.doc.gov
www.bis.doc.gov**

Larry Sullivan received a Bachelor of Science degree (Summa cum Laude) in Business Management from the University of Maryland and a Master of Science degree in Systems Management (Information Systems) from the University of Southern California.

He is a decorated combat veteran and former intelligence officer who has lived, traveled and worked around the world.

For more than twenty years, he represented firms from the relatively small to Fortune 500 companies in the international arena.

He has spoken at numerous trade-related events in the U.S. and around the world including venues in France, Germany, Korea, Kuwait, Russia, Saudi Arabia, Singapore, Spain and the United Arab Emirates.

He has also been a guest speaker on export controls, international trade and nonproliferation at such prestigious institutions as San Jose State University, the Monterey Institute of International Studies and the Center for Nonproliferation Studies.

Mr. Sullivan is currently with the U.S. Department of Commerce Bureau of Industry and Security (BIS) Western Regional Office, San Jose branch where he provides export counseling and licensing guidance to individuals and firms.